



Compliance Training Manager

Banking tools for Leaders, Participants and More.



The Compliance Training Manager assists you in organizing, planning and delivering high quality, bank compliance training.

Compliance Training Manager (CTM) is:

The Compliance Training Manager is a service to help make training line and staff management an easier, more comprehensive process.

You may use the modules individually or to supplement video or other in-house training.

The basic package includes Position Descriptions to help you match your staff with the compliance training they need. It also includes a grid to help determine which sections of each module the various staff members need.

Each module, which may be purchased separately or as a service, includes outlines and teaching aids to allow your staff to focus on training and not reinventing the wheel on outlines each time a group needs compliance training.

Call today to discuss how this service will serve your needs.

Toll Free 877-472-4669.

COMPLIANCE TRAINING MANAGER

- ABOUT THE AUTHORS -

Compliance Training Manager

Benefits allow users to:

- Identify training needs quickly.
- Schedule training efficiently.
- Offer job specific training.
- Track training accomplishments.
- Document training easily for management and regulatory reporting.
- Train today without spending weeks developing materials.
- Use resources efficiently by saving time and money.

Nancy Derr Castiglione, CRCM—Nancy is the owner and manager of D-C Compliance Services, Inc. Before forming her own consulting firm Nancy worked for a number of financial institutions. She is a former federal bank examiner and has authored numerous articles and training resources for organizations such as the American Banker's Association. Nancy is pragmatic and knowledgeable about what you need to know about bank compliance. Nancy has authored a majority of the CTM modules with input and cooperation with the Paragon Compliance Group senior advisors listed below.

Phillips G. Gay, Jr., CRCM, CAMS, CRP is a senior advisor with Paragon Compliance Group, a Senior Vice President at Commercial Bank of Florida, and President of Compliance Advisory Service. Phil is particularly well known for his knowledge in the anti-money laundering field and is considered an international expert on the subject having traveled extensively to train here and abroad.

Phil is also well known for his Home Mortgage Disclosure Act teaching skills and has authored numerous articles, taught numerous seminars and is currently editing a video on the 2003 revisions of the HMDA. Phil is responsible for reviewing all of the sections related to HMDA, Anti-Money Laundering and General Bank operations subjects in the CTM.

Lucy H. Griffin, CRCM, CRP is a senior advisor with Paragon Compliance Group, and President of Compliance Resources, Inc. Compliance Resources is also a partner in the publishing of *Compliance Action* a widely distributed newsletter on banking compliance issues. Lucy has experience with several companies as a consultant and was Manager of the Compliance Division of the American Banker's Association for several years.

Lucy is well known for teaching and consulting in the lending areas particularly, Real Estate Settlement Procedures Act and Truth in Lending. Lucy is also considered an expert on Community Reinvestment Act and other lending related compliance issues. Lucy is responsible for reviewing the lending related topics in the CTM.

Mollie N. Sudhoff, CRCM, CRP is a senior advisor with Paragon Compliance Group, and President of Jefferson Cook Associates, Ltd. Mollie is a former bank examiner and led the compliance training at Bank Administration Institute for nearly 15 years. Mollie consults with compliance departments regarding policies and procedures as well as other general compliance practices. She also places compliance officers with banking or financial services institutions. Mollie is responsible for the overall production of the CTM and quality control for the service.

Project Manager

Joan L. Vandon is the office manager for Paragon Compliance Group and is responsible for much of the design and production of the CTM service. Joan has been in the bank related training and support field for over 15 years. Should you have any questions regarding subscriptions please contact Joan toll free at 877-472-4669.



PARAGON
Compliance Group

Compliance Training Manager Encompasses over 30 Modules including:



Section	Regulation	Section	Regulation	Section	Regulation	Section	Regulation
1.0	Americans with Disabilities Act-ADA	10.0	ECOA and Fair Housing	19.0	Home Mortgage Disclosure Act HMDA	28.0	GLB Privacy Act
2.0	Bank Secrecy Act-BSA	11.0	Expedited Funds Availability Act – Reg CC	20.0	Insider Transactions-Regulation O Etc.	29.0	Real Estate Appraisals
3.0	Bank Bribery Act	12.0	Fair Credit Reporting Act – FCRA Fair and Accurate Credit Transactions Act FACTA	21.0	Interest on Deposits-Regulation Q	30.0	Real Estate Settlement Procedures Act-RESPA
4.0	Community Reinvestment Act-CRA	13.0	Fair Dept. Collections Practices Act	22.0	IRS B-Notices	31.0	Record Retention
5.0	Consumer Leasing	14.0	Open	23.0	Legal Lending Limits	32.0	Reserves/ Regulation D
6.0	Sale of Insurance	15.0	Open	24.0	Lost and stolen securities	33.0	Open
7.0	FTC Act-Credit Practices UDAP	16.0	FDIC Insurance	25.0	Margin Stock Loans (Reg U)	<u>i.</u>	<u>Position Descriptions</u>
8.0	Consumer Complaints	17.0	Open	26.0	OFAC-Trading with the Enemy	<u>ii.</u>	<u>Training Grid/Linking Position with Module</u>
9.0	Electronic Funds Transfers	18.0	Flood Issues	27.0	Open	<u>iii.</u>	<u>Video & Tool Recommendations</u>

To Order or
for more information:
Call toll free 877-472-4669
ask for Joan Vandon.
Email: jvandon@paragoncompliance.com
www.paragoncompliance.com



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***Pricing for the Compliance Training Manager
(CTM) is as follows:***

CTM—Annual Subscription Fees:

	<u>PDF Edition</u>	<u>Paper 3 Ring Binder Edition</u>
Initial Complete CTM:	\$495	\$595
Annual Renewal:	\$195	\$295

Individual Modules: \$ 25—\$50 depending on complexity.

Complete Edition for both Paper and PDF Versions include:

- Compliance Training Grid— Who to Train
- Compliance Training Position Descriptions—A set of typical bank staff position descriptions from which to compare your bank's job descriptions for choosing modules.
- CTM Participant Version: Compliance Training Modules on Retail Lending Compliance and Retail Deposit Compliance and other Retail Management and Corporate Compliance Topics.
- CTM Leader Version: Compliance Training Modules on Retail Lending Compliance and Retail Deposit Compliance and other Retail Management and Corporate Compliance Topics with suggestions on training resources and answers to exercises.
 - Modules vary in complexity, but most include outlines, exercises, and references.

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for more information:
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Email: jvandon@paragoncompliance.com
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